

Mailing Date: **OCT 08 2013**

COMMONWEALTH OF PENNSYLVANIA  
OFFICE OF ADMINISTRATIVE LAW JUDGE  
FOR THE  
PENNSYLVANIA LIQUOR CONTROL BOARD

PENNSYLVANIA STATE POLICE,	:	In Re Citation No.: 13-0045
BUREAU OF LIQUOR CONTROL	:	
ENFORCEMENT (BLCE)	:	
	:	BLCE Incident No.: W05-443649
v.	:	
	:	
HER4, LLC	:	PLCB LID No.: 65139
420 E. COLLEGE AVE.	:	
STATE COLLEGE, PA 16801-5505	:	
	:	PLCB License No.: R-AP-SS-19790

**ADJUDICATION**

BEFORE: Felix Thau, Administrative Law Judge

FOR BLCE: Emily L. Gustave, Esquire

FOR LICENSEE: James M. Petrascu, Esquire

**BACKGROUND:**

This proceeding arises out of a citation, containing four counts, that was issued on January 18, 2013, by the Bureau of Liquor Control Enforcement of the Pennsylvania State Police (Bureau) against HER4, LLC (Licensee).

The first count charges Licensee with violations of Section 493(1) of the Liquor Code [47 P.S. §4-493(1)]. The charge is that Licensee, by your servants, agents, or employees, sold, furnished and/or gave or permitted such sale, furnishing or giving of alcoholic beverages to two (2) minors, nineteen (19) and twenty (20) years of age, on April 3, 10 and September 23, 2012.<sup>1</sup>

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<sup>1</sup> On August 7, 2013, the Bureau filed a Motion to Amend Citation, withdrawing the dates of April 3 and 10, 2012. I granted the Motion.

The second count charges Licensee with violations of Section 102 of the Liquor Code [47 P.S. §1-102]. The charge is that, Licensee's licensed premises was not a bona fide restaurant in that Licensee, by your servants, agents, or employees, maintained insufficient food items, and/or failed to provide food upon request, on March 24, 25, April 19, 20 and 24, 2012.

The third count charges Licensee with a violation of Section 404 of the Liquor Code [47 P.S. §4-404]. The charge is that Licensee, by your servants, agents, or employees, failed to adhere to the conditions of the agreement entered into with the Board placing additional restrictions upon the subject license, during the period March 12 through May 23, 2012.

The fourth count charges Licensee with a violation of Section 437 of the Liquor Code [47 P.S. §4-437] and Section 5.41 of the Liquor Control Board Regulations [40 Pa. Code §5.41]. The charge is that Licensee, by your servants, agents, or employees, operated your licensed establishment without a valid health permit or license, during the period March 12 through April 22, 2012.

I presided at an evidentiary hearing on August 14, 2013 at 180 Charlotte Drive, Altoona, Pennsylvania.

Therefore, I make the following Findings of Fact and Conclusions of Law.

**FINDINGS OF FACT:**

1. The Bureau began its investigation on March 1, 2012 and completed it on December 5, 2012. (N.T. 13)

2. The Bureau sent a notice of the alleged violations to Licensee at the licensed premises by certified mail, return receipt requested, on December 11, 2012. The notice alleged violations as charged in the citation. (Commonwealth Exhibit No. C-1, N.T. 10)

Count No. 1

3. On September 23, 2012, a nineteen year old was served multiple alcoholic beverages. She entered the premises as part of the group that was setting up band equipment. The band included her sister's boyfriend. Both her sister and boyfriend were of-age. Her sister accompanied the minor. (N.T. 22-34)

Count No. 2

4. On March 24 and 25, 2012, representing one visit extending beyond midnight, an undercover Bureau Enforcement Officer requested food. The staff advised the Officer that Licensee did not serve food. On April 19 and 20, 2012, representing one visit extending beyond midnight, an undercover Bureau Enforcement Officer again requested food. Licensee's staff told the Officer Licensee did not serve food. (N.T. 48-55)

5. On April 24, 2012, Bureau Enforcement Officers conducted an administrative inspection finding virtually no food. Licensee's records indicated that Licensee sold no food. (N.T. 40-67)

6. Licensee has since corrected the condition which caused this charge to issue. (N.T. 61-63)

Count No. 3

7. On December 9, 2011, the Pennsylvania Liquor Control Board's (PLCB) Chief counsel and Mr. Herlocher, entered into a renewal Conditional Licensing Agreement (CLA). Mr. Herlocher endorsed the CLA by and for the then Licensee, RM Perry, Inc. The specific provision at issue is Paragraph 9(d), which provides: (Joint Exhibit No. J-1)

Neither RM nor its current or former officers, directors, shareholders, employees or their family members shall have any interest in this or any other license or be appointed manager in this or any other license or be an employee of this or any other license without Board approval, for a period of two (2) years.<sup>2</sup>

8. I take Official Notice [40 Pa. Code §15.52] of the following:

a. On January 17, 2012, the PLCB approved the license transfer in the name of RM Perry, Inc. to Licensee, HER4, LLC, the sole member being Mr. Herlocher (appended as Official Notice Exhibit No. ON-1, consisting of two pages)

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<sup>2</sup> In *BLCE v. Laura L. Conklin* (In Re Citation No.: 13-0313), on appeal, the PLCB determined that an employee has an interest in the license where employed. Yet, here the CLA draws a distinction between having an interest in a license and being employed for that licensee.

b. On February 21, 2012, Licensee filed a Notice of Change In The Business Structure in which Mr. Herlocher was to be replaced by Ms. Tran. (appended as Official Notice Exhibit No. ON-2, consisting of two pages)

c. The PLCB approved the change by letter of May 29, 2012. (appended as Official Notice Exhibit No. ON-3, consisting of one page)

9. During the period charged, Licensee employed eleven former RM Perry, Inc. employees without PLCB approval. (N.T. 72-79)

10. An associate of Licensee's sole member was intimately involved in Licensee's transfer from Mr. Herlocher to Ms. Tran. Ms. Tran and her associate participated in the hiring process. Neither Ms. Tran nor her associate had any connection whatsoever with the former licensee's employees. The associate used an on-line application form partly designed to capture former employment history. Each of the former eleven RM Perry, Inc. employees completed an application. They were interviewed by Ms. Tran and her associate. Ms. Tran asked each applicant about prior employment in the State College area as she was aware of the CLA requirement at issue. All eleven did not reveal their former employment with RM Perry, Inc. Neither Ms. Tran nor her associate were provided with a list of the former licensee's employees by any arm of government. Licensee also did not request such a list from any arm of government. (N.T. 94-141)<sup>3</sup>

Count No. 4

11. When Licensee began operation under the new corporate setup, Licensee failed to apply for a "municipal health permit." Licensee operated without such a permit during the period charged. (N.T. 38-48)

**CONCLUSION(S) OF LAW:**

1. The notice requirements of Liquor Code Section 471 [47 P.S. §4-471] have been satisfied.

Count No. 1

2. I sustain the violation for September 23, 2012 only.

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<sup>3</sup> Licensee provided the Manager's application as an example applicable to all eleven employees. (Licensee's Exhibit No. L-1, N.T. 106)

Count Nos. 2 - 4

3. I sustain the violations as charged.

**DISCUSSION:**

*Applicability of CLA to Licensee*

Licensee's sole challenge to the citation's legitimacy is Count No. 3. During the hearing, I engaged counsel in a number of discussions regarding the legal issues that might apply. One of those was the applicability of *BLCE v. Derry Street Pub Inc.* (In Re Citation No.: 12-1348).<sup>4</sup>

The former Licensee, RM Perry, Inc. entered into a renewal CLA pursuant to Liquor Code Section 470 [47 P.S. §4-470], thus rendering *BLCE v. Derry Street Pub Inc.* pertinent. What we failed to understand at the hearing was that renewal CLA became a transfer CLA pursuant to Liquor Code Section 404 [47 P.S. §4-404], so that *BLCE v. Derry Street Pub Inc.* no longer applied.

In pertinent part, Liquor Code Section 404 provides:

The board may enter into an agreement with the applicant concerning additional restrictions on the license in question.

The phrase, "enter into," suggests the PLCB and new licensee agree to a contract (CLA). Although there is no direct agreement between Licensee and the PLCB evidencing an intent to apply the former renewal CLA to Licensee by license transfer, because of the singularly unique license history, I conclude that requirement has been met.

Mr. Herlocher signed the CLA as principal of RM Perry, Inc. The license was transferred to HER4, LLC, the principal of which was also Mr. Herlocher. Mr. Herlocher was advised of the CLA's applicability to HER4, LLC when the transfer was approved (Official Notice Exhibit No. ON-2).

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<sup>4</sup> There was a bit of a dilemma, however, in tossing about legal concepts at the hearing. Although we thought otherwise, neither I nor the parties had a complete grasp of the licensing history.

While Licensee's principal did not directly "enter into" the CLA, the transfer was approved subject to the CLA, but more importantly, with the knowledge of Licensee's then principal and without any objection or quarrel on his part, thus invoking the equitable concept of piercing the corporate veil.<sup>5</sup>

### ***Contract Theory***

During the hearing, I posed the following questions: What must Licensee do to ensure compliance with the relevant CLA provision? Is Licensee a guarantor that no breach occur? How do the contract principles of "substantial performance," "performance prevented by other or third party," and "impossibility of performance" apply to the instant facts?

Both counsel prepared lengthy and well-reasoned briefs, which I have carefully read. Licensee asserts it did everything within reason to comply with the relevant CLA provision. I do not agree. As the Bureau's brief notes, Licensee did not request a list of former employees. Had Licensee done so and no list was provided, the contract theories that otherwise nullify contract terms might have required application.

### **PRIOR ADJUDICATION HISTORY:**

Licensee has been licensed since January 17, 2012, and has no prior Adjudications.

### **PENALTY ASSESSMENT CRITERIA:**

#### ***Mandatory Requirement(s)***

##### Count No. 1

Liquor Code Section 471 [47 P.S. §4-471] prescribes a penalty of license suspension, or revocation, or a fine of not less than \$50.00, or more than \$1,000.00, or both for the violation found herein as Licensee was RAMP certified at the time of the violation and had no previous Adjudications for sales to a minor or visibly intoxicated patrons.

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<sup>5</sup> A corporation is no more than a legal fiction, separate and apart from its members or stockholders. Consequently, any obligations incurred by HER4, LLC prior to Ms. Tran's takeover remain in full force and effect.

Further, Liquor Code Section 471.1 [47 P.S. §4-471.1], relating to responsible alcohol management, mandates that Licensee participate in the Pennsylvania Liquor Control Board's Responsible Alcohol Management Program (RAMP), since this is Licensee's first violation as herein found.

Count Nos. 2 - 4

Liquor Code Section 471 [47 P.S. §4-471] prescribes a penalty of license suspension, or revocation, or a fine of not less than \$50.00, or more than \$1,000.00, or both for the violations found herein.

*Discretionary Component(s)*

I impose:

Count No. 1 - \$500.00 fine.

Count No. 2 - \$400.00 fine.

Count No. 3 - \$550.00 fine.

Count No. 4 - \$200.00 fine.

**ORDER:**

*Imposition of Fine*

Licensee must pay a \$1,650.00 fine within twenty days of the mailing date of this Adjudication. The mailing date is located on this Adjudication's first page, upper left corner. If Licensee fails to comply, the Liquor Code requires that I suspend or revoke the license.

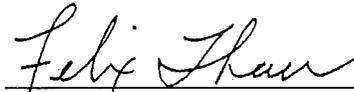
*Mandatory RAMP Compliance*

I order Licensee to continue compliance with Liquor Code Section 471.1, pertaining to responsible alcohol management, for one year from the mailing date of this Order.

***Retaining Jurisdiction***

I retain Jurisdiction to ensure compliance with this Adjudication.

Dated this 7<sup>th</sup> day of October, 2013.



Felix Thau, A.L.J.

bc

**General Information**

This Adjudication is a legal document. It affects your rights, privileges, and obligations. The information which follows is a general guide. Therefore, you may want to consult with an attorney.

**Applying for Reconsideration**

If you want the Administrative Law Judge to reconsider this Adjudication, you must submit a written application and a nonrefundable \$25.00 filing fee. Both must be received by the Office of Administrative Law Judge, (PLCB - Office of Administrative Law Judge, Brandywine Plaza, 2221 Paxton Church Road, Harrisburg, PA 17110-9661) within fifteen days of this Adjudication's mailing date. Your application must describe the reasons for reconsideration. The full requirements for reconsideration can be found in Title 1 Pa. Code §35.241.

### Appeal Rights

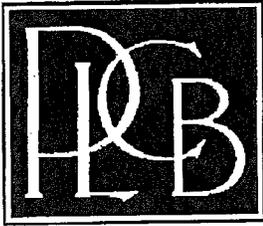
If you wish to appeal this Adjudication, you must file an appeal within thirty days of the mailing date of this Adjudication by contacting the Office of Chief Counsel of the Pennsylvania Liquor Control Board (717-783-9454). For further information, visit [www.lcb.state.pa.us](http://www.lcb.state.pa.us). The full requirements for an appeal can be found in 47 P.S. §4-471.

### Detach Here and Return Stub with Payment

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The fine must be paid by a check drawn on the business or trust account of your attorney, who must be licensed in this Commonwealth, a treasurer's check, cashier's check, or money order. **Personal and business checks are not acceptable unless they are certified by your bank.** Please make your guaranteed check payable to the Commonwealth of Pennsylvania and mail, along with any required documentation (please use the Return Stub when mailing payment or write your citation number on the check).

PLCB-Office of Administrative Law Judge  
Brandywine Plaza  
2221 Paxton Church Road  
Harrisburg, PA 17110-9661



# PENNSYLVANIA LIQUOR CONTROL BOARD

Harrisburg, Pennsylvania 17124-0001

January 17, 2012

IN THE PUBLIC INTEREST

HER4 LLC  
420 E COLLEGE AVE  
STATE COLLEGE PA 16801-5505

Refer To: LID 65139  
License No. R-19790

### CERTIFICATE OF APPROVAL & LETTER OF AUTHORITY

Dear Applicant:

The Board has conditionally approved the license application for transfer from RM Perry, Inc.

The following item(s) must be returned to the Board within 15 days of the formal transaction completion date (settlement):

- The attached Certification of Completion must be properly executed and returned to the Board affirming the financial arrangements were completed as reported. (Instructions follow.)
- The license expiring \_\_\_\_\_
  - Licensee Discount Card expiring \_\_\_\_\_
  - Store Discount Card expiring \_\_\_\_\_. The attached letter authorizes the obtaining of the Store Card from the Wine & Spirits Store for return to this office.
- Amusement Permit and/or Sunday Sales Permit and/or Extended Hours Food License/permit authority letter.
- An affidavit of all liquor being sold to you in connection with the transfer. Include the size and number of full containers and the brand names. If no liquor is transferred, a statement to that effect is required.
- Other: IT IS UNDERSTOOD THAT THIS APPROVAL IS SUBJECT TO YOU SERVING THE 29 DAYS SUSPENSION IMPOSED ON RM PERRY, INC. AS AGREED TO IN THE STIPULATION DATED JANUARY 5, 2012.

IN ADDITION, IT IS UNDERSTOOD THE TERMS OF THE CONDITIONAL LICENSING AGREEMENT DATED DECEMBER 9, 2011 WILL REMAIN IN EFFECT BOTH ON THE LICENSE AND THE LICENSED PREMISES.

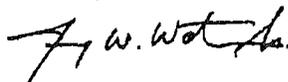
WHEN A COPY OF THE PROPERLY COMPLETED CERTIFICATION OF COMPLETION IS ATTACHED HERETO, this letter constitutes your temporary authority to:

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Sell liquor & malt or brewed beverages           | <input type="checkbox"/> Amusement Permit            |
| <input checked="" type="checkbox"/> Purchase at a discount at a Wine & Spirits Store | <input type="checkbox"/> Sunday Sales Permit         |
| <input type="checkbox"/> Other: _____  | <input type="checkbox"/> Extended Hours Food License |

for a period of thirty (30) days effective the date of the attached Certification of Completion. (A copy of this Certificate of Approval with Certification of Completion attached must be deposited with the Wine and Spirits Store as your authority to purchase liquor at a discount.)

FAILURE TO CONCLUDE SETTLEMENT WITHIN 30 DAYS OF THE DATE OF THIS LETTER MAY RESULT IN THE APPROVAL BEING RESCINDED.

Sincerely,



Jerry W. Waters, Sr., Director  
Office of Regulatory Affairs

JWW:sb  
cc PSP 5  
cc PSP, BLCE  
cc State Store  
cc Former Licensee  
cc Atty. Mark F. Flaherty

Refer to: Licensing Information Center  
(717)783-8250  
Hours: 7:30 AM to 4:00 PM

**AFTER SETTLEMENT OCCURS, IMMEDIATELY:**

1. COMPLETE THE ATTACHED PLCB-1854T, "CERTIFICATION OF COMPLETION." BOTH THE TRANSFEROR (SELLER) AND TRANSFEREE (BUYER) MUST SIGN AND COMPLETE THE FORM, INCLUDING DATE AND TIME.
2. THE ORIGINAL CERTIFICATION OF COMPLETION ALONG WITH ALL OTHER REQUIRED ITEMS MUST BE SUBMITTED TO THE PLCB, BUREAU OF LICENSING, PO BOX 8940, HARRISBURG PA 17105-8940.
3. MAIL A COPY OF THIS LETTER ALONG WITH A COPY OF THE COMPLETED CERTIFICATION OF COMPLETION TO THE PA STATE POLICE/BUREAU OF LIQUOR CONTROL ENFORCEMENT AT THE FOLLOWING ADDRESS:

PA State Police  
Bureau of Liquor Control Enforcement  
3655 Vartan Way  
Harrisburg PA 17110

4. A COPY OF THIS LETTER, WITH A COPY OF THE SIGNED AND DATED CERTIFICATION OF COMPLETION ATTACHED, MUST BE POSTED IN A CONSPICUOUS LOCATION ON THE LICENSED PREMISES.

**NOTICE OF CHANGE IN THE BUSINESS STRUCTURE  
OF LICENSED CORPORATIONS, LIMITED LIABILITY  
COMPANIES OR LIMITED PARTNERSHIPS, EXCEPT CLUBS**

BUREAU OF LICENSING  
65139 HA 2013

(SEE INSTRUCTIONS PAGE 2 OF 2)

PAGE 1 OF 2

Date 02/21/2012

The following change is reported in accordance with existing regulations of the Pennsylvania Liquor Control Board. This notice is to be attached to and become a part of the original application:

1. NAME OF APPLICANT <u>HER4LLC</u>	LID NO. 65139
2. TRADE NAME (IF ANY) <u>Levels Night Club</u>	LICENSE NO. R-19790
3. ADDRESS OF PREMISES (STREET, RURAL ROUTE, P.O. BOX NO.) <u>420 East College Avenue</u>	(POST OFFICE) <u>State College</u> (STATE) <u>PA</u> (ZIP) <u>16801-5505</u>
4. NAME AND ADDRESS OF OWNER OF PREMISES <u>Charles C. Herlocher,</u>	

5. COMPLETE IN DETAIL - (ATTACH SEPARATE SHEET, IF NECESSARY)

NAMES OF ALL OFFICERS, DIRECTORS, MEMBERS, PARTNERS, TRUSTEES, STOCKHOLDERS, AND MANAGER	TITLE	FORMER TITLE IF ANY	DATE OF ELECTION	NO. OF SHARES DATE STOCK PURCHASED
A <u>Tuong-Vi Minh Tran</u> <i>No Info</i>	<u>Member/Manager</u>	<u>N/A</u>	<u>02/21/12</u>	<u>100%</u>
B				
C				
D				
E				
F				

U.S. CITIZEN?		DATE AND PLACE OF BIRTH	LENGTH OF RESIDENCE IN PA	HOME ADDRESS	STATE OTHER EMPLOYMENT OR BUSINESS
YES	NO				
A	<input checked="" type="checkbox"/>	<u>Vietnam</u>	<u>since 9/79</u>		<u>Production</u>
B					
C					
D					
E					
F					

6. LIST ALL FORMER OFFICERS, DIRECTORS, MEMBERS, PARTNERS, TRUSTEES, STOCKHOLDERS OR MANAGER WHOSE RELATION WITH THE APPLICANT IN SUCH CAPACITY HAS BEEN DISCONTINUED SINCE THE LAST INFORMATION WAS FILED. (ATTACH SEPARATE SHEET, IF NECESSARY.)

NAME	IF FORMER OFFICER OR STOCKHOLDER LIST		HOME ADDRESS
	TITLE(S)	NO. SHARES SOLD, IF ANY	
<u>Charles C. Herlocher</u>	<u>Sole Member</u>	<u>N/A</u>	

DO NOT WRITE BELOW THIS LINE

① HER4 LLC  
② NO T/N  
③ OK

PLCB LICENSING  
COPY ORIG HEID IN FOLDER  
USE BLUE INK.

Appendix  
ON-2

RECEIVED  
12 FEB 21 PM 3:33  
BUREAU OF LICENSING  
EVALUATION DIVISION

AA

65139

7. CONVICTION RECORD: The following is a record of ALL felony and misdemeanor convictions of new and continuing officers, directors, members, partners, trustees, stockholders, and manager. (Attach separate sheet, if necessary) If there have been **no such convictions**, check here:  No such convictions

NAME	DATE OF CONVICTION	CHARGE	DISPOSITION	LOCATION OF COURT (COUNTY AND STATE)

8. Are any of the officers, directors, members, partners, trustees, stockholders, or manager:

	YES	NO		YES	NO
A. Officers, directors, members, partners, trustees, stockholders or creditors of any other licensee?		<input checked="" type="checkbox"/>	D. Public officials enforcing penal laws, either by appointment or election?		<input checked="" type="checkbox"/>
B. Owners, proprietors or lessors of any place licensed by this Board?		<input checked="" type="checkbox"/>	E. Employees of any licensee?		<input checked="" type="checkbox"/>
C. Financially interested, either directly or indirectly, in the profits of any manufacturer or any business licensed by the Board?		<input checked="" type="checkbox"/>	F. Holders of any license issued by this Board?		<input checked="" type="checkbox"/>
			G. Employees of the Pennsylvania Liquor Control Board?		<input checked="" type="checkbox"/>

9. Name the persons involved and explain any affirmative answer to question 8. \_\_\_\_\_

10. Does the applicant hold any other license issued by this board?  YES  NO

If "Yes," give LID No.(s) \_\_\_\_\_

If five licenses or more, attach separate sheet, giving LID, license number and address of premises.

11. Is a management company employed?  YES  NO

If "Yes," was it reported to the PLCB?  YES  NO List name of management company \_\_\_\_\_

12. RESOLUTION

At a regular or special meeting held on February, 21, 2012 by the applicant, it was resolved that said notice be filed with the Pennsylvania Liquor Control Board, and that Tuong-Vi Minh Tran/Member/Manager and/or N/A is/are hereby authorized to execute said notice, and any other papers required by the Board.

13. DATE "PUBLIC NOTICE OF APPLICATION ALCOHOLIC BEVERAGES" (PLACARD PLCB-1296) WAS POSTED.

02/21/2012

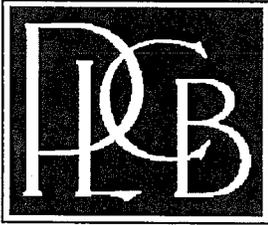
I swear or affirm, subject to the penalties provided by 18 Pa. C.S. §4904, and 47 P.S. §4-403(h) and/or 4-436(j) and/or 7-704, that the foregoing answers and statements provided herein are true and complete to the best of my knowledge and belief.

SIGNATURE	TITLE	NAME OF ATTORNEY REPRESENTING YOU IN THIS MATTER, IF ANY
	Member/Manager	James M. Petrascu, Esq.
HOME ADDRESS	PHONE	ADDRESS
		PETRASCU LAW FIRM P.C., Northwood Office Center, 2215 Forest Hills Dr. Suite 37
SIGNATURE	TITLE	Harrisburg, PA 17112-1099
HOME ADDRESS	PHONE	PHONE
	12 FEB 22 AM 8:17	(717) 545-8700
PREMISES PHONE NO.	PLCB LICENSING	DATE SIGNED
(814) 308-8773		02/21/2012

RECEIVED  
12 FEB 21 PM 3:34  
BUREAU OF LICENSING  
EVALUATION DIVISION

COPY ORIG HELD IN  
Folder USE BLUE INK

PLCB LICENSING  
12 FEB 22 AM 7:39



**PENNSYLVANIA LIQUOR CONTROL BOARD**

Harrisburg, Pennsylvania 17124-0001

May 29, 2012

IN THE PUBLIC INTEREST

HER4 LLC  
420 E COLLEGE AVE  
STATE COLLEGE PA 16801-5505

RE: LID 65139  
License No. R-19790

Dear Licensee:

A favorable report has been received regarding the changes in the business structure of the licensed entity. Our records indicate the following as the current Board-approved setup:

Tuong-Vi Minh Tran - Member (100% ownership, Manager)

Approval by the Board of a manager shall not exempt the license from the penalties provided in the Act of Assembly approved April 12, 1951 (P.L. 90, as amended) for violations of the said laws committed in the licensed establishment.

Please be advised, the enclosed Conditional Licensing Agreement is still in effect against Restaurant Liquor License R-19790.

Sincerely,

Jerry W. Waters, Sr., Director  
Office of Regulatory Affairs

Refer to: Licensing Information Center  
(717) 783-8250  
Hours: 7:30 AM to 4:00 PM

JWW:mg  
cc State Store  
cc PSP # 5  
cc Atty. Petrascu (fax)

AN EQUAL OPPORTUNITY EMPLOYER

**Appendix  
ON-3  
Page 1 of 1**

PROCEEDS BENEFIT ALL PENNSYLVANIANS